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May 20, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Re:

U.S. Patent Application No.: 10/635818

For: COMPOSITIONS AND METHODS FOR NON-TARGETED ACTIVATION

OF ENDOGENOUS GENES

Inventors: Harrington, John J. et al.

Filed: August 5, 2003

Our Ref. No.: ATX-007CP4DV13CN

#### Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Supplemental Information Disclosure Statement;
- 2.. PTO Form SB-08;
- 3. Copies of issued U.S. patents cited in PTO Form SB-08; and
- 4. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement. However, please charge any other necessary fees due in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450:

May 20, 200

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Cynthia L. Kanik, Ph.D. Registration No. 37,320

Attorney for Applicants



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Harrington, John J. et al.

Serial No.: 10/635818

Filed: August 5, 2003

For: COMPOSITIONS AND METHODS FOR NON-TARGETED ACTIVATION OF ENDOGENOUS

**GENES** 

Attorney Docket No.: ATX-007CP4DV13CN

Group Art Unit: 1645

Examiner: Not Yet Assigned

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date set forth below:

May 20, 2004

By:

Date of Signature and of Mail Deposit

Cynthia L. Kanik, Ph.D.

Reg. No. 37,320

Attorney for Applicants

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney are aware of the following additional publications, listed on the attached PTO Form SB-08, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. Copies of cited U.S. patents (Reference B1-B8) are enclosed with this statement. Copies of cited U.S. publications (Reference ID Nos. C1-C6), however, are not enclosed with this

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statement. If the Examiner requires copies of those pending applications, Applicants will supply them to the Examiner.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. <u>If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this supplemental Information Disclosure Statement</u>, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted, LAHIVE & COCKFIELD, LLP

Cynthia L. Kanik, Ph.D. Registration No. 37,320 Attorney for Applicants

28 State Street Boston, MA 02109 (617) 227-7400

Date: May 20, 2004

CL/DLN/alf Enclosures

PTO/SB/08a/b (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

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Complete if Known				
10/635818				
August 5, 2003				
Harrington, J.J.				
1645				
Not Yet Assigned				
ATX-007CP4DV13CN				

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	B1	6,361,972 B1	03/26/02	Harrington et al.				
	B2	6,410,266 B1	06/25/02	Harrington et al.				
	В3	6,524,818 B1	02/25/03	Harrington et al.				
	B4	6,524,824 B1	02/25/03	Harrington et al.				
	B5	6,541,221 B1	04/01/03	Harrington et al.	·			
	B6	6,602,686 B1	08/05/03	Harrington et al.				
	B7	6,623,958 B1	09/23/03	Harrington et al.				
	B8	6,670,185 B1	12/30/03	Harrington et al.				
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°			

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NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
Examiner Signature		Date Considered					

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PTO/SB/08a/b (08-03)
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Substitute for form 1449A/B/PTO			Complete if Known		
				Application Number	10/635818
II.	<b>NFORMATIO</b>	N DI	SCLOSURE	Filing Date	August 5, 2003
S	STATEMENT BY APPLICANT			First Named Inventor	Harrington, J.J.
				Art Unit	1645
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned
Sheet	Sheet 2 of 2		Attorney Docket Number	ATX-007CP4DV13CN	

	U.S. PATENT DOCUMENTS							
Examiner	Cite No.1	Document Number	Filing Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant			
Initials*		Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear			
	C1	09/276820	03-26-1999	Harrington et al.				
	C2	09/484317	01-18-2000	Harrington et al.				
	СЗ	09/484331	01-18-2000	Harrington et al.				
	C4	09/484895	01-18-2000	Harrington et al.				
	C5	09/760897	01-17-2001	Harrington et al.				
	C6	10/331329	12-30-2002	Harrington et al.				
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		FORE	EIGN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>8</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т⁰
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Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
Examiner Signature	<u></u>	Date Considered				

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